

# EQUI-VEST®

## One-Time Transfer, Change of Allocations, Asset Rebalancing

Variable Annuity Series

**Return:**

**Express Mail:**

AXA Equitable  
EQUI-VEST Processing Office  
100 Madison St., Suite 1000  
Syracuse, N.Y. 13202

**Regular Mail:**

AXA Equitable  
EQUI-VEST Processing Office  
P.O. Box 4956  
Syracuse, N.Y. 13221

**Fax Number:**

(201) 583-2827

**For Assistance:**

**Call:**

(800) 628-6673  
Monday-Thursday  
8:00 a.m. – 7:00 p.m. EST  
Friday  
8:00 a.m. – 5:00 p.m. EST

**To Sign Up For eDelivery:**

Visit us at  
[www.axa-equitable.com](http://www.axa-equitable.com)

**1. Type of Request**

Please complete the sections listed if you are requesting a:

- One-Time Transfer of Current Account Value\* — sections 2, 3, 8
- One-Time Re-Allocation of Current Account Value\* — sections 2, 4, 7, 8
- Asset Rebalancing Program — sections 2, 5, 7, 8
- Change of Future Allocations — sections 2, 6, 7, 8

\* Please note that you cannot select both a One-Time Transfer of your current account value and a Re-Allocation of your current account value.

**2. Owner's Information (Please Print)**

Certificate/Contract Number:

Owner's Name: \_\_\_\_\_

Last, First, Middle

Owner's Daytime Phone:    -    -

Owner's Email Address: \_\_\_\_\_

Joint Owner's Name: \_\_\_\_\_

Last, First, Middle (if applicable)

Joint Owner's Daytime Phone:    -    -

Annuitant's Name (if other than owner): \_\_\_\_\_

Last, First, Middle

Address: \_\_\_\_\_

Number and Street

Apt/Suite/Floor

City

State

Zip Code

Please check if this is an address change.



#### 4A. Re-allocation of Current Account Value on a One-Time Basis

**Do Not Complete if you elected one-time transfers in section 3.**

- Complete this section to re-allocate your current account value on a one-time basis.
- Indicate your allocation in section 7, column 4B.
- Whole percentages must be indicated with total allocations equal to 100%.
- If you request a transfer while the rebalancing program is in effect, we will process the transfer as requested; the rebalancing program will remain in effect until you request in writing that it be cancelled.

**Please check one option:**

- Include the Variable Investment Options (VIO) only.
- Include the Variable Investment Options and the Guaranteed Interest Option.

#### 5A. Asset Rebalancing Program

- Asset Rebalancing does not affect your allocation for future contributions and does not guarantee a profit or protect against loss in a declining market.
- Indicate your allocation in section 7, column 5B.
- Whole percentages must be indicated with total allocations equal to 100%.
- If you request a transfer while the rebalancing program is in effect, we will process the transfer as requested; the rebalancing program will remain in effect until you request in writing that it be cancelled.
- All Asset Rebalancing transactions will be processed on the first business day of the month based on the frequency you select below.

**Type of Request:**     New Instructions     Change to Existing Program  
                                  Discontinue Existing Rebalancing Program

**Select Desired Frequency and Start Date: (Start Date must be a future month)**

- Annual \_\_\_\_\_(mm)/\_\_\_\_\_(yy)                       Semiannual \_\_\_\_\_(mm)/\_\_\_\_\_(yy)
- Quarterly (indicate the quarter to begin):     January     April     July     October

**Program Election (Please elect one of the options).**

- Option I — Include the Variable Investment Options (VIOs) only.
- Option II — Include the VIOs and the GIO. Cannot be elected if the Dollar Cost Averaging program is in effect.
- By checking this box, I authorize the termination of my Dollar Cost Averaging program in order to elect the Asset Rebalancing – Option II program.

#### 6A. Change of Allocation for Future Contributions

Complete this section to change your allocation instructions currently on file for your contract identified in section 1.

- This change will be applied to all future contributions you make to this contract.
- Indicate your allocation in section 7, column 6B.
- Whole percentages must be indicated with total allocations equal to 100%.

## 7. Transaction Allocation Instructions

- Please review the series listing prior to completing section 4B, 5B, or 6B, to verify the availability of each fund.
- No more than 20 funds may be selected in columns 4B and 5B.
- Allocations must be in whole percentages and equal 100%.

EQUI-VEST FUND CLASS/FUND NAME	EQUI-VEST IRA, NO, SEP, SIMPLE IRA, Corporate Trustee and KEOGH (Series 100-500)	EQUI-VEST TSA and EDC (Series 100-200) and EQUI-VEST Vantage (Series 900)	EQUI-VEST (Series 201)	EQUI-VEST (Series 800-801) and EQUI-VEST Express (Series 700-701)	EQUI-VEST Strategies (Series 900)	EQUI-VEST Strategies (Series 901)	4B. Re-Allocation of Current Account Value One-Time Basis	5B. Asset Rebalancing Program	6B. Change of Future Allocations
<b>ASSET ALLOCATION</b>									
<b>AXA ALLOCATION</b>									
AXA Aggressive Allocation (18*)	✓	✓	✓	✓	✓	✓	%	%	%
AXA Conservative Allocation (15*)	✓	✓	✓	✓	✓	✓	%	%	%
AXA Conservative-Plus Allocation (16*)	✓	✓	✓	✓	✓	✓	%	%	%
AXA Moderate Allocation (T4*)	✓	✓	✓	✓	✓	✓	%	%	%
AXA Moderate-Plus Allocation (17*)	✓	✓	✓	✓	✓	✓	%	%	%
<b>BONDS</b>									
EQ/Core Bond Index (96*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Global Bond PLUS (47*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Intermediate Government Bond Index (TI*)	✓	✓	N/A	✓	✓	✓	%	%	%
EQ/PIMCO Ultra Short Bond (28*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Quality Bond PLUS (TQ*)	✓	✓	✓	✓	✓	✓	%	%	%
Invesco V.I. High Yield (8L*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Ivy Funds VIP High Income (8G*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager Core Bond (69*)	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager Multi-Sector Bond (TH*)	✓	✓	✓	✓	✓	✓	%	%	%
Templeton Global Bond Securities (8F*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
<b>CASH EQUIVALENTS</b>									
EQ/Money Market (T3*)	✓	✓	✓	✓	✓	✓	%	%	%
<b>GUARANTEED-FIXED</b>									
Guaranteed Interest Option (A1*) <sup>+</sup>	✓	✓	✓	2	✓	✓	%	%	%
<b>INTERNATIONAL STOCKS/GLOBAL</b>									
AXA Tactical Manager International (7N*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Global Multi-Sector Equity (78*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/International Core PLUS (88*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/International Equity Index (TN*)	✓	✓	N/A	✓	✓	✓	%	%	%
EQ/International Value PLUS (73*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/MFS International Growth (26*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Oppenheimer Global (6A*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Templeton Global Equity (6D*)	✓	✓	✓	✓	✓	✓	%	%	%
Invesco V.I. International Growth (7Z*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Lazard Retirement Emerging Markets Equity (8H*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
MFS® International Value (8A*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager International Equity (65*)	✓	✓	N/A	✓	✓	✓	%	%	%
<b>LARGE CAP STOCKS</b>									
AXA Tactical Manager 500 (7M*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/BlackRock Basic Value Equity (81*)	✓	✓	✓	✓	✓	✓	%	%	%

## 7. Transaction Allocation Instructions (continued)

EQUI-VEST FUND CLASS/FUND NAME	EQUI-VEST IRA/NO, SEP, SIMPLE IRA, Corporate Trusteed and KEOGH (Series 100-500)	EQUI-VEST TSA and EDC (Series 100-200) and EQUI-VEST Vantage (Series 900)	EQUI-VEST (Series 201)	EQUI-VEST (Series 800-801), and EQUI-VEST Express (Series 700-701)	EQUI-VEST Strategies (Series 900)	EQUI-VEST Strategies (Series 901)	4B. Re-Allocation of Current Account Value One-Time Basis	5B. Asset Rebalancing Program	6B. Change of Future Allocations
<b>LARGE CAP STOCKS (CONTINUED)</b>									
EQ/Boston Advisors Equity Income (33*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Calvert Socially Responsible (92*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Capital Guardian Research (86*)	✓	✓	N/A	✓	✓	N/A	%	%	%
EQ/Common Stock Index (T1*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Davis New York Venture (6Q*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Equity 500 Index (TE*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Equity Growth PLUS (94*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/JPMorgan Value Opportunities (72*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Large Cap Core PLUS (85*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Large Cap Growth Index (82*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Large Cap Growth PLUS (77*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Large Cap Value Index (49*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Large Cap Value PLUS (89*)	✓	✓	N/A	✓	✓	✓	%	%	%
EQ/Lord Abbett Large Cap Core (05*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Montag & Caldwell Growth (34*)	✓	✓	N/A	✓	✓	✓	%	%	%
EQ/Mutual Large Cap Equity (6F*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/T. Rowe Price Growth Stock (32*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/UBS Growth and Income (35*)	✓	✓	N/A	✓	✓	N/A	%	%	%
EQ/Van Kampen Comstock (07*)	✓	✓	N/A	✓	✓	✓	%	%	%
EQ/Wells Fargo Omega Growth (83*)	✓	✓	N/A	✓	✓	N/A	%	%	%
Fidelity® VIP Contrafund® (7R*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Fidelity® VIP Equity Income (7S*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
MFS® Investors Growth Stock (8I*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
MFS® Investors Trust (7P*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager Aggressive Equity (T2*)	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager Large Cap Core Equity (57*)	✓	✓	N/A	✓	✓	N/A	%	%	%
Multimanager Large Cap Value (58*)	✓	✓	✓	✓	✓	✓	%	%	%
Oppenheimer Main Street Fund/VA (7Q*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
<b>OTHER ASSET ALLOCATION</b>									
All Asset Allocation (7H*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Franklin Templeton Allocation (6P*)	✓	✓	✓	✓	✓	✓	%	%	%
<b>SECTOR/SPECIALTY</b>									
EQ/Franklin Core Balanced (6C*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/GAMCO Mergers and Acquisitions (25*)	✓	✓	N/A	✓	✓	N/A	%	%	%
Invesco V.I. Dividend Growth (8B*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
Invesco V.I. Global Real Estate (8C*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Ivy Funds VIP Energy (8D*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
MFS® Technology (8J*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
MFS® Utilities (8K*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager Technology (67*)	✓	✓	✓	✓	✓	✓	%	%	%
PIMCO VIT CommodityRealReturn® Strategy (8E*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
Van Eck VIP Global Hard Assets (8N*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%

## 7. Transaction Allocation Instructions (continued)

EQUI-VEST FUND CLASS/FUND NAME	EQUI-VEST IRA/NQ, SEP, SIMPLE IRA, Corporate Trusteed and KEOGH (Series 100-500)	EQUI-VEST TSA and EDC (Series 100-200) and EQUI-VEST Vantage (Series 900)	EQUI-VEST (Series 201)	EQUI-VEST (Series 800-801), and EQUI-VEST Express (Series 700-701)	EQUI-VEST Strategies (Series 900)	EQUI-VEST Strategies (Series 901)	4B. Re-Allocation of Current Account Value One-Time Basis	5B. Asset Rebalancing Program	6B. Change of Future Allocations
<b>SMALL/MID CAP STOCKS</b>									
American Century VIP Mid Cap Value (7V*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
AXA Tactical Manager 400 (7L*)	✓	✓	✓	✓	✓	✓	%	%	%
AXA Tactical Manager 2000 (7K*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/AllianceBernstein Small Cap Growth (TP*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/AXA Franklin Small Cap Value Core (6E*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/GAMCO Small Company Value (37*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Mid Cap Index (55*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Mid Cap Value PLUS (79*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Morgan Stanley Mid Cap Growth (08*)	✓	✓	✓	✓	✓	✓	%	%	%
EQ/Small Company Index (97*)	✓	✓	✓	✓	✓	✓	%	%	%
Fidelity® VIP Mid Cap (7U*) <sup>1</sup>	N/A	N/A	✓	N/A	N/A	✓	%	%	%
Goldman Sachs VIT Mid Cap Value (7W*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Invesco V.I. Mid Cap Core Equity (7T*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Invesco V.I. Small Cap Equity (7X*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Ivy Funds VIP Mid Cap Growth (8M*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Ivy Funds VIP Small Cap Growth (7Y*) <sup>1</sup>	✓	✓	✓	✓	✓	✓	%	%	%
Multimanager Mid Cap Growth (59*)	✓	✓	N/A	✓	✓	✓	%	%	%
Multimanager Mid Cap Value (61*)	✓	✓	N/A	✓	✓	✓	%	%	%
Multimanager Small Cap Growth (36*)	✓	✓	N/A	✓	✓	✓	%	%	%
Multimanager Small Cap Value (91*)	✓	✓	N/A	✓	✓	✓	%	%	%
<b>STRUCTURED INVESTMENT OPTION</b>									
Segment Holding Account for S&P 500 1Yr -10% Buffer (V1*)	N/A	N/A	✓	N/A	✓	✓	N/A	N/A	%
<b>TARGET ALLOCATION</b>									
Target 2015 Allocation (6G*)	✓	✓	✓	✓	✓	✓	%	%	%
Target 2025 Allocation (6H*)	✓	✓	✓	✓	✓	✓	%	%	%
Target 2035 Allocation (6I*)	✓	✓	✓	✓	✓	✓	%	%	%
Target 2045 Allocation (6J*)	✓	✓	✓	✓	✓	✓	%	%	%
Total (Total of all Investment Options chosen must equal 100%)							%	%	%

\* For IRA/NQ contracts issued after May 5, 2008 in certain states: If the account value is \$100,000 or less, no more than 25% of any transfer can be allocated to the Guaranteed Interest Option (GIO). If the account value is greater than \$100,000, no more than 50% of any transfer can be allocated to the GIO.

<sup>1</sup> VIT funds are not available under EQUI-VEST (Series 201) and EQUI-VEST Strategies (Series 901) in Texas.

<sup>2</sup> GIO is not available for EQUI-VEST Express (Series 700-701).

## 8. Client Authorization

This section **must** be completed unless the client has completed a Limited Transfers Authorization form for an authorized Financial Professional, and that authorized Financial Professional is making this request based on client instructions.

ALL TRANSACTIONS WILL BE PROCESSED EFFECTIVE ON THE BUSINESS DAY THIS FORM IS RECEIVED (IF ALL REQUIRED INFORMATION IS PROVIDED) IN OUR PROCESSING OFFICE. PLEASE CONSULT YOUR PROSPECTUS FOR RESTRICTIONS, MINIMUM OR MAXIMUM LIMITATIONS, FEES AND OTHER APPLICABLE INFORMATION RELATING TO YOUR REQUEST.

If you have requested a one-time transfer of funds and are currently enrolled in an Asset Rebalancing program, we will process the transfer as requested; however, the Rebalancing program will remain in effect unless you request that it be cancelled. Please check the box below if you wish to have your Asset Rebalancing program cancelled.

By checking this box and my signature below, I authorize the termination of my Asset Rebalancing program.

Signature: \_\_\_\_\_        
Annuitant's Signature (mm/dd/yy)

## 9. Financial Professional Certification

\* Should **only** be completed if the client-authorized Financial Professional is making this request based on client instructions and the client has previously signed a Limited Transfer Authorization form (cat.# 146335).

I hereby certify that this request is being made based on the client's instructions to me.

Signature: \_\_\_\_\_        
Financial Professional's Signature Branch Agent Number (mm/dd/yy)

\* A copy of this completed, signed form must be placed in the client file.

### General Information about Signature Requirements

**Multiple/Joint Owners:** Must be signed by all Owners.

**Assignments:** 1) Collateral — Assignee and present Owner;  
2) Absolute — Assignee.

**Corporation:** One officer other than the Insured on behalf of the corporation.

**Attorney-in-Fact/Guardian:** Must be signed by either the Attorney-in-Fact or Guardian with their title listed. A copy of the appointment is needed if it is not already on file.

**Partnerships:** Requests must be submitted in the name of the Partnership and signed by a partner other than the Insured, or two partners if Insured signs.

## 10. Special Instructions

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Cat. #133704 (9/11)