

# Guaranteed Growth Annuity Successor Owner/Annuitant (SOA) Option

Use this form to assume ownership of an existing annuity

## About This Form

The Successor Owner/Annuitant (SOA) option allows a spouse, as sole beneficiary, to assume ownership of an existing Guaranteed Growth Annuity contract after the original owner/annuitant dies. The existing annuity contract will remain in force and will be transferred into the surviving spouse's name, with all rights that were available to the original contract owner. There are no additional fees and taxes are deferred until distribution.

## Who Qualifies?

This option is available only if you are the spouse and sole beneficiary of a contract owner's Traditional IRA or non-qualified (NQ) annuity, and only when the deceased annuitant and owner are the same. For NQ contracts, SOA is also available for a surviving spouse who was a joint owner if the deceased was the other owner and the annuitant of the contract.

## Exclusions

This option is only available if it is approved within your state where the contract was originally issued. Call us at 1-800-789-7771.

### 1. Provide Information About the Deceased

Guaranteed Growth Annuity Contract Number

Deceased's Name (first, middle initial, last)

Date of Death (month/day/year)

Social Security or Taxpayer ID Number

### 2. Provide Information About Yourself as the Sole Spousal Beneficiary

Your Name (first, middle initial, last)

Street Address

City

State

Zip

Date of Birth (month/day/year)

Social Security or Taxpayer ID Number

Daytime Telephone Number

Evening Telephone Number

### 3. Designate Your Beneficiary

You must designate a new beneficiary. To name more than one beneficiary, please indicate whether each beneficiary is a primary or contingent beneficiary. Attach additional pages if needed.

1. \_\_\_\_\_  
Name  Primary  
 Contingent  
Percent: \_\_\_\_\_  
Relationship to You                      Social Security or Taxpayer ID Number                      Date of birth
2. \_\_\_\_\_  
Name  Primary  
 Contingent  
Percent: \_\_\_\_\_  
Relationship to You                      Social Security or Taxpayer ID Number                      Date of birth
3. \_\_\_\_\_  
Name  Primary  
 Contingent  
Percent: \_\_\_\_\_  
Relationship to You                      Social Security or Taxpayer ID Number                      Date of Birth

4. Agree to Terms and Sign Here

By signing below, you acknowledge and understand the following terms and conditions:

1. You are the sole beneficiary of the annuity contract owned by your deceased spouse or you are a joint owner of an annuity contract owned by you and your deceased spouse and, as such, you are electing to become the successor owner and the annuitant of the contract. You must select a new beneficiary.
2. The contingent withdrawal charge schedule under your spouse's contract will no longer apply.
3. Except as stated above, all contract provisions and administrative features of your spouse's contract will remain unchanged until you notify AXA Equitable of any changes. The contract number will also remain unchanged.
4. You understand that any Substantially Equal distribution option, systematic withdrawal option, or minimum distribution options previously selected by your spouse will be discontinued. You must re-elect any of these options if you are eligible.

**X**

Your Signature

Date

For more information, please call your financial professional, or contact 1-800-789-7771.